



Birthing Centers Inspection Checklist

R432-500. [Birthing Centers](#)

This inspection checklist is the tool CCL licensors use to ensure consistency for every inspection. *(Revised 10/2024)*

Facility Name:		Facility ID:		Phone Number:		Notes / Sticky Notes
Address:				Email Address:		
Provider:						

Please review the following items prior to the inspection: (Mark with a check mark if completed and make and necessary notes)			Please review the following items during the inspection: (Mark with a check mark if completed and make and necessary notes)		
<input type="checkbox"/>			<input type="checkbox"/>		
<input type="checkbox"/>			<input type="checkbox"/>		
<input type="checkbox"/>			<input type="checkbox"/>		
<input type="checkbox"/>			<input type="checkbox"/>		
<input type="checkbox"/>			<input type="checkbox"/>		

Inspection Information:

- I will email you this inspection checklist after the inspection is completed. I will send you an official inspection report once this inspection has been approved by OL management.

- If the only rule noncompliances are documentation and/or records, please submit them to the Office of Licensing by the correction required date listed. A licensor may conduct a follow-up inspection to verify compliance and ensure compliance maintenance.

- You may submit feedback on this inspection by visiting the website dlbc.utah.gov

Signature Information

Inspection Type:		Date:		Time Started:		Time Ended:	
Number of rule noncompliances:			Name of Individual Informed of this Inspection:				
Licensor(s) Conducting this Inspection:					OL Staff Observing Inspection:		
<input type="checkbox"/>	The Licensor reviewed compliance.	Please sign/type individual informed name and date of review:					



Birthing Centers

R432-550 **Birthing Centers**

This inspection checklist is the tool OL licensors use to ensure consistency for every inspection.

Licensors Introductory Items

<input type="checkbox"/>	Introduction of any unknown OL staff to the provider	<input type="checkbox"/>	
<input type="checkbox"/>	Give a brief explanation of the inspection process to the provider	<input type="checkbox"/>	
<input type="checkbox"/>	ASK: the provider if they want you to tell staff about rule noncompliances as you conduct the walk- though, or wait until the inspection is over to tell them.	<input type="checkbox"/>	
<input type="checkbox"/>	Wash hands or use hand sanitizer before touching items in the facility.	<input type="checkbox"/>	
<input type="checkbox"/>		<input type="checkbox"/>	
<input type="checkbox"/>	Please review the Facility's days and hours of operations:		

General Notes

RULES CHECKLIST							
Rule # R432	Rule Description	C	NC	NA	Compliance Required By Date:	Corrected During Inspection	Notes
	C = Compliant NC = Not Compliant NA = Not Assessed during this inspection						
R432-35-4. Covered Provider - DACS Process		C	NC	NA	Date		Notes
R432-35-4(1)	(1) The covered provider shall enter required information into DACS to initiate a certification for direct patient access of each covered individual before issuance of a provisional license, license renewal, or engagement as a covered individual.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(2)(a)-(b)	(2) The covered provider shall ensure the engaged covered individual: (a) signs a criminal background screening authorization form that is available for review by the department; and (b) submits fingerprints within 15 working days of engagement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(3)	(3) The covered provider shall ensure DACS reflects the current status of the covered individual within five working days of the engagement or termination.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(4)	(4) The covered provider may provisionally engage a covered individual while certification for direct patient access is pending as permitted in Section 26B-2-239.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(5)	(5) If the department determines an individual is not eligible for direct patient access, based on information obtained through DACS and the sources listed in Section R432-35-8, the department shall send a notice of agency action, as outlined in Rule R432-30, to the covered provider and the individual explaining the action and the individual's right of appeal.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(6)	(6) The covered provider may not arrange for a covered individual who has been determined not eligible for direct patient access to engage in a position with direct patient access.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-35-4(7)	(7) The department may allow a covered individual to have direct patient access with conditions, during an appeal process, if the covered individual demonstrates to the department, the work arrangement does not pose a threat to the safety and health of patients or residents.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(8)	(8) The covered provider that provides services in a residential setting shall enter required information into DACS to initiate and obtain certification for direct patient access for each individual 12 years of age and older, who is not a resident, and resides in the residential setting. If the individual is not eligible for direct patient access and continues to reside in the setting, the department may revoke an existing license or deny licensure for healthcare services in the residential setting.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(9)(a)-(d)	(9) The covered provider seeking to renew a license as a health care facility shall utilize DACS to run a verification report and verify each covered individual's information is correct, including: (a) employment status; (b) address; (c) email address; and (d) name.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-35-4(10)	(10) An individual or covered individual seeking licensure as a covered provider shall submit required information to the department to initiate and obtain certification for direct patient access before the issuance of the provisional license. If the individual is not eligible for direct patient access, the department may revoke an existing license or deny licensure as a health care facility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

RULES CHECKLIST

Rule # R432	Rule Description	C	NC	NA	Compliance Required By Date:	Corrected During Inspection	Notes
	C = Compliant NC = Not Compliant NA = Not Assessed during this inspection						
R380-80-4. Providers' Duty to Help Protect Clients.		C	NC	NA	Date		
R380-80-4(1)	(1) The provider shall protect each client from abuse, neglect, exploitation, and mistreatment.	<input type="checkbox"/>	<input style="color: red;" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R380-80-5. Provider Code of Conduct.		C	NC	NA	Date		
R380-80-5(4)	(4) Each provider shall protect clients from abuse, neglect, harm, exploitation, mistreatment, fraud, and any action that may compromise the health and safety of clients through acts or omissions and shall instruct and encourage others to do the same.	<input type="checkbox"/>	<input style="color: red;" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-6. Governing Body.		C	NC	NA	Date		Notes

R432-550-6(1)(a)-(m)	<p>(1) The licensee shall appoint in writing an individual or group to constitute the facility's governing body. The governing body shall:</p> <p>(a) comply with federal, state and local laws, rules and regulations;</p> <p>(b) adopt written policies and procedures which describe the functions and services of the birthing center and protect patient rights;</p> <p>(c) adopt a policy prohibiting discrimination because of race, color, sex, religion, ancestry, or national origin in accordance with Title 13, Chapter 7, Sections 1 through 4.</p> <p>(d) develop an organizational structure establishing lines of authority and responsibility;</p> <p>(e) when the governing body is more than one individual, conduct meetings in accordance with facility policy, but at least annually, and maintain written minutes of the meetings;</p> <p>(f) appoint by name and in writing a qualified administrator;</p> <p>(g) appoint by name and in writing a qualified director of the clinical staff;</p> <p>(h) appoint members of the clinical staff and delineate their clinical privileges;</p> <p>(i) review and approve at least annually a quality assurance program for birthing center operation and patient care provided.</p> <p>(j) establish a system for financial management and accountability;</p> <p>(k) provide for resources and equipment to provide a safe working environment for personnel;</p> <p>(l) act on findings and recommendations of facility-created committees relevant to compliance with these birthing center rules;</p> <p>(m) ensure that facility patient admission eligibility criteria are strictly applied by clinical staff and are evaluated through quality assurance review in accordance with R432-550-11.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
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R432-550-6(2)(a)-(d)	<p>(2) Written policies and procedures shall:</p> <p>(a) clearly, accurately and comprehensively define the methods by which the facility will be operated to protect the health and safety of patients;</p> <p>(b) provide for meeting the patient's needs;</p> <p>(c) provide for continuous compliance with federal, state and local laws, rules and regulations.</p> <p>(d) Written policies and procedures shall include:</p> <p>(i) defining the term "low risk maternal patient" which shall include eligibility criteria for birth services offered in the birthing center;</p> <p>(ii) defining specific criteria, which shall in normally anticipated circumstances render a maternal patient ineligible for birth services or continued care at the birthing center;</p> <p>(iii) identifying and outlining methods for transferring patients who, during the course of labor or recovery, are determined to be ineligible for birthing center services or continued care at the birthing center, including:</p> <p>(A) information required for proper care and treatment of the individual(s) transferred, including patient records; and</p> <p>(B) security and accountability of the personal effects of the individual being transferred.</p> <p>(iv) planning for consultation, back-up services, transfer and transport of a newborn and maternal patient to a hospital where necessary care is available;</p> <p>(v) documenting the maternal patient has been informed of the eligibility requirements of an out-of-hospital birthing center labor and birth;</p> <p>(vi) providing instructions in postpartum and newborn care to the patient and any other family or support person designated by the patient;</p> <p>(vii) registering birth, fetal death or death certificates in accordance with Title 26, Chapter 2, Sections 5, 13, 14, 23 and rules promulgated pursuant thereto in R436.</p> <p>(viii) prescribing and instilling a prophylactic solution approved by the Department of Health in the eyes of the newborn in accordance with R386-702-8, Special Measures for the Control of Ophthalmia Neonatorum;</p> <p>(ix) performing phenylketonuria (PKU) and other disease tests in accordance with Department of Health Laboratory rules developed pursuant to Section 6;</p> <p>(x) verifying prenatal laboratory screening to include:</p> <p>(A) blood type and Rh Factor and provision for appropriate use of Rh immunoglobulin;</p> <p>(B) hematocrit or hemoglobin; (C) antibody screen; (D) rubella; and (E) syphilis;</p> <p>(xi) providing for infection control to include:</p> <p>(A) housekeeping; (B) cleaning, sterilization, sanitization and storage of supplies and equipment; and (C) prevention of transmission of infection in personnel, patients and visitors.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
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R432-550-7. Administrator.		C	NC	NA	Date		Notes
R432-550-7(1)(a)-(c)	<p>(1) Direction.</p> <p>(a) The administrator shall be responsible for the overall management and operation of the birthing center.</p> <p>(b) The administrator shall designate in writing a competent employee to act as administrator in the temporary absence of the administrator.</p> <p>(c) The administrator's designee shall have authority and responsibility to:</p> <p>(i) act in the best interests of patient safety and well-being;</p> <p>(ii) operate the facility in a manner which ensures compliance with these birthing center rules.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-7(2)(a)-(c)	<p>(2) Qualifications. The administrator and administrator's designee shall be knowledgeable:</p> <p>(a) by education, training or experience in administration and supervision of personnel and qualified as required by facility policy;</p> <p>(b) in birthing center protocols;</p> <p>(c) in applicable federal, state and local laws, rules and regulations.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-7(3)(a)-(f)	<p>(3) The administrator's responsibilities shall be included in a written job description available for Department review. The administrator shall:</p> <p>(a) complete, submit and file records and reports required by the Department;</p> <p>(b) develop and implement facility policies and procedures;</p> <p>(c) review facility policies and procedures at least annually and report to the governing body on the review;</p> <p>(d) employ or contract with competent personnel whose qualifications are commensurate with job responsibilities and authority and who have the appropriate Utah license or certificate of completion;</p> <p>(e) develop, for all employee positions, job descriptions that delineate functional responsibilities and authority; and</p> <p>(f) review and act on incident or accident reports.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-8. Clinical Director.		C	NC	NA	Date		Notes

R432-550-8(1)	(1) The clinical director shall be responsible for implementing, coordinating and assuring the quality of patient care services.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-8(2)(a)-(c)	(2) The clinical director shall: (a) be currently licensed to practice medicine or midwifery in Utah; (b) have training and expertise in obstetric and newborn services offered to ensure adequate supervision of patient care services; and (c) for an alongside midwifery unit, the clinical director shall be a physician as defined in Section 58-67-102 or a certified nurse midwife under Title 58, Chapter 44a, Nurse Midwife Practice Act.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-8(3)(a)-(i)	(3) The clinical director's responsibilities shall be included in a written job description available for Department review. The clinical director shall: (a) review and update facility protocols; (b) review and evaluate clinical staff privileges and revise them as necessary; (c) recommend, to the governing body, names of qualified licensed health care practitioners to perform approved procedures and the corresponding clinical staff privileges to be granted; (d) coordinate, direct and evaluate clinical operations of the facility; (e) evaluate and recommend to the administrator the type and amount of equipment needed in the facility; (f) ensure that qualified staff are on the premises while patients are admitted to the facility; (g) ensure clinical staff documentation is recorded immediately and reflects a description of care given; (h) ensure that planned birthing center services are within the scope of privileges granted to the clinical staff; and (i) recommend to the administrator appropriate remedial action and disciplinary action, when necessary, to correct violations of clinical protocols.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9. Personnel.		C	NC	NA	Date		Notes
R432-550-9(1)	(1) The administrator shall employ a sufficient number of qualified professional and support staff who are competent to perform their respective duties, services and functions.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-9(2)(a)-(d)	(2) The facility shall maintain written personnel policies and procedures which shall be available to personnel and shall address the following: (a) content of personnel records; (b) job descriptions, qualifications and validation of licensure or certificates of completion as appropriate for the position held; (c) conditions of employment; and (d) management of employees.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(3)	(3) The facility shall maintain personnel records for employees and shall retain personnel records for terminated employees for a minimum of one year following termination of employment.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(4)	(4) The facility shall establish a personnel health program through written personnel health policies and procedures which shall protect the health and safety of personnel and patients commensurate with the services offered.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(5)(a)-(b)	(5) An employee placement health evaluation shall include at a health inventory which shall be completed when an employee is hired. The health inventory shall obtain the employee's history of the following: (a) conditions that predispose the employee to acquiring or transmitting infectious diseases; and (b) conditions which may prevent the employee from performing certain assigned duties satisfactorily.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(6)	(6) Employee health screening and immunization components of personnel health programs shall be developed in accordance with R386-702, Code of Communicable Disease Rules.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-9(7)(a)-(b)	(7) Employee skin testing by the Mantoux method or other FDA approved in-vitro serologic test and follow-up for tuberculosis shall be done in accordance with R388-804, Special Measures for the Control of Tuberculosis. (a) The licensee shall ensure that all employees are skin-tested for tuberculosis within two weeks of: (i) initial hiring; (ii) suspected exposure to a person with active tuberculosis; and (iii) development of symptoms of tuberculosis. (b) Skin testing shall be exempted for all employees with known positive reaction to skin tests.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(8)	(8) The birthing center personnel must receive documented orientation to the facility and the job for which they are hired.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(9)(a)-(b)	(9) The birthing center personnel must receive documented ongoing in-service training to include: (a) an annual review of facility policies and procedures; and (b) infection control, personal hygiene and each employee's responsibility in the personnel health program.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(10)	(10) The birthing center Personnel shall have access to the facility's policy and procedure manuals when on duty.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-9(11)(a)-(b)	(11) Personnel shall maintain current licensing, certification or registration appropriate for the work performed and as required by the Utah Department of Commerce. (a) Personnel shall provide evidence of current licensure, registration or certification to the Department upon request. (b) Failure to ensure personnel are licensed, certified or registered may result in sanctions to the facility license.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-10. Contracts.		C	NC	NA	Date	CDI	Notes

R432-550-10(1)(a)-(c)	(1) The licensee shall provide a written contract for any birthing center services that are not provided directly by the facility. The licensee shall ensure that the contracted entity: (a) performs according to facility policies and procedures; (b) conforms to standards required by laws, rules and regulations; and (c) provides services that meet professional standards and are timely.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-10(2)	(2) Contracts shall be available for Department review.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-10(3)	(3) An alongside midwifery unit shall have a transfer agreement in place with the adjoining hospital to transfer a patient to the adjacent hospital's labor and delivery unit if a higher level of care is needed, and for services that are provided by the adjacent hospital's staff in collaboration with the alongside midwifery unit staff.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-10(4)	(4) An alongside midwifery unit may contract with staff from the adjoining hospital to assist with newborn care or resuscitation of a patient in an emergency.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-11. Quality Assurance.		C	NC	NA	Date	CDI	Notes
R432-550-11(1)	(1) The administrator shall establish a quality assurance committee and program. This committee shall review regularly clinic operations, protocols, policies and procedures, incident reports, infection control, patient care policies and safety.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-11(2)	(2) The quality assurance committee shall initiate action to resolve identified quality assurance problems by filing a written report of findings and recommendations with the licensee.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-11(3)	(3) The quality assurance committee shall meet as prescribed in facility policy or at least quarterly and shall keep written minutes available for department review.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-11(4)	(4) The quality assurance program shall include surveillance, prevention and control of infection.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-12. Emergency and Disaster.		C	NC	NA	Date	CDI	Notes
R432-550-12(1)	(1) The facility shall assure the safety and well-being of patients in the event of an emergency or disaster. An emergency or disaster may include but is not limited to interruption of public utilities, explosion, fire, earthquake, bomb threat, flood, windstorm, epidemic and injury.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-12(2)	(2) The administrator shall educate, train and drill staff to respond appropriately in an emergency in accordance with NFPA 101, Life Safety Code.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-12(3)(a)-(c)	(3) The administrator shall be responsible for the development of an emergency and disaster plan, coordinated with state and local emergency or disaster authorities, to respond to emergencies and disasters as appropriate. The plan shall: (a) be in writing and personnel shall have ready access when on duty; (b) be reviewed and updated at least annually by the administrator and the licensee; and (c) address evacuation of occupants to a safe place within the facility or to another location.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-12(4)(a)-(b)	(4) The facility must maintain safe ambient air temperatures within the facility. (a) Emergency heating must have the approval of the local fire department. (b) The facility shall have, and be capable of implementing contingency plans regarding excessively high or low ambient air temperatures within the facility that may affect the health and safety of the patients.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-13. Patients' Rights.		C	NC	NA	Date	CDI	Notes

R432-550-13(1)(a)-(h)	<p>(1) Written patients' rights shall be established and made available to the patient as determined by facility policy which shall include the following:</p> <p>(a) to be fully informed, prior to or at the time of admission, and during stay, of these rights and of facility rules that pertain to the patient;</p> <p>(b) to be fully informed, prior to admission, of the treatment to be received, potential complications and expected outcomes;</p> <p>(c) to refuse treatment to the extent permitted by law and to be informed of the medical consequences of such refusal;</p> <p>(d) to be informed, prior to or at the time of admission and during stay, of services available in the facility and of any expected charges for which the patient may be liable;</p> <p>(e) to be afforded the opportunity to participate in decisions involving personal health care, except when contraindicated;</p> <p>(f) to refuse to participate in experimental research;</p> <p>(g) to be ensured confidential treatment of personal and medical records and to approve or refuse release to any individual outside the facility, except in the case of transfer to another health facility, or as required by law or third party payment contract;</p> <p>(h) to be treated with consideration, respect and full recognition of personal dignity and individuality, including privacy in treatment and in care for personal needs.</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-14. Clinical Staff and Personnel.		C	NC	NA	Date	CDI	Notes
R432-550-14(1)	(1) Information identifying current clinical staff and on-call and emergency telephone numbers shall be readily available to birthing center personnel.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-14(2)	(2) Clinical staff and licensed personnel of the birthing center shall be trained in emergency and resuscitation measures for infants and adults, including but not limited to, cardiopulmonary resuscitation certification.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-14(3)	(3) A licensed maternity care practitioner shall be present at each birth and remain until the maternal patient and newborn are stable postpartum.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-14(4)	(4) A second member of the birthing center staff who is licensed or certified to give cardiopulmonary resuscitation shall be present at each birth.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-14(5)	(5) Clinical staff, licensed personnel and support staff shall be provided to meet patients' needs, to ensure patients' safety and to ensure that patients in active labor are attended.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-14(6)	(6) A midwife practicing in an alongside midwifery unit shall be a certified nurse midwife under Title 58, Chapter 44a, Nurse Midwife Practice Act.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-15. Clinical Staff.		C	NC	NA	Date	CDI	Notes
R432-550-15(1)	(1) The attending member of the clinical staff shall ensure the supervision of, and quality of, care delivered to the patient admitted to the facility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-15(2)	(2) Each patient shall be under the care of a member of the clinical staff.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-15(3)	(3) Clinical staff members shall comply with applicable professional practice laws and written birthing center protocols approved by the clinical director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-15(4)	(4) The attending member of the clinical staff shall verify in writing that the patient conforms to facility eligibility criteria.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-15(5)	(5) The attending member of the clinical staff shall decide when transfer of a patient to a hospital is necessary and document in writing the conditions warranting the decision.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-16. Equipment and Supplies.		C	NC	NA	Date	CDI	Notes
R432-550-16(1)	(1) The administrator shall provide necessary equipment in good working order to meet the patient's needs.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-16(2)	(2) The type and amount of equipment shall be indicated in facility policy and approved by the clinical director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-16(3)(a)-(b)	(3) An emergency cart or tray equipped to allow completion of emergency procedures defined by facility policy shall be readily available. (a) The facility shall safely store the emergency cart or tray in a designated area that is accessible to authorized personnel. (b) The facility shall maintain a written log of all upkeep of the emergency cart or tray.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-16(4)	(4) The inventory of supplies shall be sufficient to care for the number of patients registered for care.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-16(5)(a)-(n)	(5) Properly maintained equipment and supplies for the maternal patient and the newborn shall include at least the following: (a) furnishings suitable for labor, birth and recovery; (b) oxygen with flow meters and masks or equivalent; (c) bulb suction; (d) resuscitation equipment to include resuscitation bags, laryngeal mask airways and oral airways; (e) firm surfaces suitable for use in resuscitating patients; (f) emergency medications and related supplies and equipment; (g) fetal monitoring equipment, minimally to include a fetoscope or doppler; (h) equipment to monitor and maintain the optimum body temperature of the newborn; (i) a clock indicating hours, minutes and seconds; (j) sterile suturing equipment and supplies; (k) adjustable examination light; (l) infant scale; (m) a telephone or equivalent two-way communication device capable of reaching other facilities or emergency agencies; and (n) a delivery log for recording birth data.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-17. Medications.		C	NC	NA	Date	CDI	Notes
R432-550-17(1)	(1) Licensed personnel shall prescribe, order and administer medication in accordance with applicable professional practice acts, pharmacy and controlled substances laws.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-18. Anesthesia Services.		C	NC	NA	Date	CDI	Notes
R432-550-18(1)	(1) The birthing center shall provide facilities and equipment for the provision of anesthesia services commensurate with the obstetric procedures planned for the facility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-18(2)	(2) The clinical director shall ensure the safety of anesthesia services administered to patients by clinical staff through written policies and protocols approved by the clinical staff for anesthetic agents, delivery of anesthesia and potential hazards of anesthesia.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-18(3)	(3) A clinical staff member shall monitor patients who receive anesthesia or analgesics.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-19. Laboratory Services.		C	NC	NA	Date	CDI	Notes
R432-550-19(1)	(1) The birthing center shall provide direct or contract laboratory and associated services according to facility policy and to meet the needs of patients.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-19(2)	(2) Laboratory reports or results shall be reported promptly to the attending clinical staff member and documented in the patient's medical record.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-19(3)	(3) Laboratory services shall be provided according to CLIA requirements.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-20. Medical Records.		C	NC	NA	Date	CDI	Notes
R432-550-20(1)	(1) Medical records shall be complete, accurately documented and systematically organized to facilitate retrieval and compilation of information.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-20(2)	(2) An employee designated by the administrator shall be responsible and accountable for the processing of medical records.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-20(3)	(3) The medical record and its contents shall be safeguarded from loss, defacement, tampering, fires and floods.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-20(4)(a)-(b)	(4) Medical records shall be protected against access by unauthorized individuals. Birthing centers shall: (a) keep medical record information confidential; and (b) obtain consent from the patient before releasing client information identifying the client, including photographs, unless release is otherwise allowed or required by law.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-20(5)	(5) Medical records shall be retained for at least five years after the last date of patient care. Records of minors, including records of newborn infants, shall be retained for three years after the minor reaches legal age under Utah law, but in no case less than five years.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-20(6)(a)-(m)	(6) The birthing center shall maintain an individual medical record for each patient which shall include but is not limited to written documentation of the following: (a) admission record with demographic information and patient identification data; (b) history and physical examination which shall be up-to-date upon the patient's admission; (c) written and signed informed consent; (d) orders by a clinical staff member; (e) record of assessments, plan of care and services provided; (f) record of medications and treatments administered; (g) laboratory and radiology reports; (h) discharge summary for mother and newborn to include a note of condition, instructions given and referral as appropriate; (i) prenatal care record containing at least prenatal blood serology, Rh factor determination, past obstetrical history and physical examination and documentation of fetal status; (j) monitoring of progress in labor with assessment of maternal and newborn reaction to the process of labor; (k) fetal monitoring record; (l) labor and delivery record, including type of delivery, record of anesthesia and operative procedures if any; and (m) documentation that the patient is informed of the statement of patient rights.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	

R432-550-20(7)(a)-(d)	(7) The records of newborn infants shall include the following: (a) date and hour of birth, birth weight and length, period of gestation, gender and condition of infant on delivery including Apgar scores and resuscitative measures; (b) mother's name or unique identification; (c) record of ophthalmic prophylaxis; and (d) the identification number of the screening kit used to screen for metabolic diseases, documentation that metabolic screening, genetic screening, PKU or other metabolic disorders reports were completed or refused by the client.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-20(8)	(8) An alongside midwifery unit may integrate medical records with the medical record system of the adjoining hospital.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-21. Housekeeping Services.		C	NC	NA	Date	CDI	Notes
R432-550-21(1)	(1) The facility shall provide adequate housekeeping services to maintain a clean and sanitary environment.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-21(2)	(2) The facility shall develop and implement written housekeeping policies and procedures.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-22. Laundry Services.		C	NC	NA	Date	CDI	Notes
R432-550-22(1)	(1) The facility shall develop and implement written policies and procedures for storage and processing of clean and soiled linen.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-22(2)	(2) Clean linen shall be stored, handled and transported to prevent contamination. Linens shall be maintained in good repair.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-22(3)	(3) Soiled linen shall be handled, transported, stored and processed in a manner to prevent both leakage and the spread of infection.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-23. Maintenance, Physical Environment, and Safety.		C	NC	NA	Date	CDI	Notes

R432-550-23(1)	(1) The facility shall provide adequate maintenance service to ensure that facility equipment and grounds are maintained in a clean and sanitary condition and in good repair.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-23(2)	(2) The facility shall develop and implement a written maintenance program which shall include a preventive maintenance schedule for major equipment and physical plant systems.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-24. General Maintenance.		C	NC	NA	Date	CDI	Notes
R432-550-24(1)	(1) The facility shall maintain facility buildings, fixtures, equipment and spaces in operable condition.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-24(2)	(2) The facility shall provide a safe, clean and sanitary environment.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-24(3)	(3) The facility shall conduct a pest-control program that ensures the facility is free from vermin.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-24(4)	(4) Direct or contract pest-control programs shall comply with Title 4, Chapter 14.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-24(5)	(5) Documentation shall be maintained for Department review.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-25. Waste Processing Service.		C	NC	NA	Date	CDI	Notes
Facilities and equipment shall be provided for the sanitary storage and treatment or disposal of all categories of waste, including hazardous and infectious wastes if applicable, using techniques acceptable to the Department of Environmental Quality, and the local health department having jurisdiction.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-26. Lighting.		C	NC	NA	Date	CDI	Notes
The facility shall provide adequate and comfortable lighting to meet the needs of patients and personnel.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-27. Limitations of Services.		C	NC	NA	Date	CDI	Notes

R432-550-27(1)	(1) Birthing center policy shall establish a written risk assessment system to assess the individual risk for each maternal patient.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-27(2)(a)-(b)	(2) A clinical staff member shall perform and document a risk assessment for each maternal patient to ensure the patient needs: (a) fall within the scope of practice and standards of care included in the clinical staff member's professional practice act and within facility policy; and (b) meet the eligibility requirements for a low risk maternal patient.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-27(3)(a)-(b)	(3) Clients shall become ineligible for birthing center care upon development of: (a) a clinical need for anesthesia or analgesia other than those used in a setting where anesthesia and analgesia are limited in accordance with the facility's written protocols; or (b) any condition identified intrapartum or postpartum which will be likely to adversely affect the health of the maternal patient or infant and will require management in a general hospital.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	
R432-550-28. Penalties.		C	NC	NA	Date	CDI	Notes
Any person who violates any provision of this rule may be subject to the penalties enumerated in Title 26, Chapter 21, Section 11 and R432-3-7 and be punished for violation of a class A misdemeanor as provided in Title 26, Chapter 21, Section 16.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	