

R432. Health and Human Services, Health Care Facility Licensing.

R432-102. Specialty Hospital - Substance Use Disorder.

R432-102-1. Legal Authority.

This rule is authorized by Section 26B-2-202.

R432-102-2. Purpose.

This rule applies to a specialty hospital whose primary specialty is the treatment of patients with substance use disorder. If a specialty hospital licensee chooses to have a secondary specialty service, then both of the appropriate specialty hospital rules apply.

R432-102-3. Time for Compliance.

The licensee shall ensure that any substance use disorder specialty hospital, is fully compliant with this rule at the time of licensure and at any time when serving patients thereafter.

R432-102-4. Definitions.

- (1) The common definitions of Rule R432-1 apply to a substance use disorder specialty hospital.
- (2) "Specialty Hospital" is defined in Rule R432-1.
- (3) "Detoxification services" means the systematic reduction or elimination of a toxic agent in the body by use of rest, fluids, medication, counseling, and nursing care is provided according to medical orders and facility protocols.

R432-102-5. Licensure.

Rule R432-2 applies to licensure of a substance use disorder specialty hospital.

R432-102-6. General Construction Rules.

Rule R432-8, applies to construction and remodel of the facility.

R432-102-7. Organization.

Section R432-100-6, Governing Body applies to a substance use disorder specialty hospital.

R432-102-8. Administrator.

Section R432-100-7, Administrator, applies to a substance use disorder specialty hospital.

R432-102-9. Medical and Professional Staff.

- (1) Section R432-100-8, Medical and Professional Staff, applies to a substance use disorder specialty hospital.
- (2) Medical and professional staff members may be retained, or by contract, to fulfill the requirements and needs of the treatment programs offered.
- (3) The licensee shall ensure that medical and professional staff are assigned specific responsibilities on the treatment team as qualified by training and educational experience and as permitted by hospital policy and the scope of their license.

R432-102-10. Nursing.

Section R432-100-14, Nursing Care Services, applies to a substance use disorder specialty hospital.

R432-102-11. Personnel Management Service.

- (1) The licensee shall ensure there are enough medical and professional staff and support personnel who are able and competent to perform their respective duties, services, and functions to meet hospital service and patient care needs.
- (2) The licensee shall ensure written personnel policies and procedures include:
 - (a) job descriptions for each position, including:
 - (i) job title;
 - (ii) job summary;
 - (iii) responsibilities;
 - (iv) minimum qualifications;
 - (v) required skills and licenses; and
 - (vi) physical requirements; and
 - (b) a method to handle and resolve grievances from the staff.
- (3)(a) The licensee shall orient each employee to job requirements and personnel policies, and provide job training beginning the first day of employment.
 - (b) The licensee shall maintain documentation signed by the employee and supervisor that verifies completion of basic orientation within the first month of employment.
 - (c) The licensee shall ensure registered nurses and licensed practical nurses receive additional orientations to include:
 - (i) concepts of treatment provided within the hospital for patients with substance use disorder diagnoses;
 - (ii) roles and functions of nurses in treatment programs for patients with substance use disorder diagnoses; and
 - (iii) medications used in the treatment of substance use disorder diagnoses;

- (d) The licensee shall ensure:
 - (i) in-service sessions are planned and held at least quarterly; and
 - (ii) documentation is maintained to demonstrate that each staff member has attended an annual in-service on the reporting requirements for abuse, neglect, and exploitation for adults and children.
- (4) The licensee shall ensure that personnel are licensed, certified, or registered as required by the Utah Department of Commerce and copies of the license, registration, or certificate are maintained in personnel files for department review.
- (5) Volunteers may be utilized in the daily activities of the hospital but may not be included in the hospital's staffing plan in lieu of hospital employees. The licensee shall ensure volunteers are:
 - (a) screened by the administrator or designee and supervised according to hospital policy; and
 - (b) familiar with the hospital's policies and procedures on volunteers, including patient rights and facility emergency procedures.

R432-102-12. Clinical Services.

- (1) The licensee shall establish an inpatient clinical services program that includes the following elements:
 - (a) detoxification services;
 - (b) provision for individual, group or family counseling services as needed and indicated in the individual treatment plan; and
 - (c) a referral process to outpatient treatment services coordinated with other hospital and community services for continuity of care, as indicated in the individual treatment plan.
- (2) The licensee may provide therapy programs and services on an outpatient basis. If provided, the licensee shall ensure:
 - (a) outpatient programs and services are organized, staffed, and managed according to the requirements and needs of the services offered; and
 - (b) the therapy programs and services are subject to the same medical, administrative, and quality assurance oversight as inpatient clinical services programs.

R432-102-13. Crisis Intervention Services.

- (1) The licensee shall ensure that if crisis intervention services are offered, they are organized under the direction of the medical director or designee and comply with the following:
 - (a) services are available at any hour to individuals presenting for assistance; and
 - (b) the following public areas are available in the crisis intervention service area:
 - (i) an interview and treatment area for both individuals and families;
 - (ii) a reception and control area; and
 - (iii) a public waiting area with telephone, drinking fountain, and toilet facilities.
- (2) If the licensee chooses not to offer crisis intervention services, the licensee shall have a written referral plan for persons making inquiry regarding such services or presenting themselves for assistance.
- (3) The licensee shall ensure:
 - (a) the crisis intervention service has physician coverage 24 hours a day;
 - (b) nursing and other allied health professional staff are available in the hospital;
 - (c) staff may have collateral duties elsewhere in the hospital, but shall be able to respond when needed without adversely affecting patient care or treatment elsewhere in the hospital; and
 - (d) the crisis intervention service implements policies and procedures that include:
 - (i) admission;
 - (ii) treatment;
 - (iii) medical procedures;
 - (iv) applicable reference materials; and
 - (v) involuntary detention of a person is done according to hospital policy and Utah law.

R432-102-14. Patient Record.

- (1) Section R432-100-35, Medical Records, applies to a substance use disorder specialty hospital.
- (2) The licensee shall ensure the content of the patient record contains:
 - (a) progress notes, including description and date of service, with a summary of client progress, signed by the therapist or service provider; and
 - (b) a discharge summary, including final evaluation of treatment and goals attained and signed by the therapist.
- (3) The licensee shall ensure:
 - (a) a written individual treatment plan is initiated for each patient upon admission and completed within seven working days following admission;
 - (b) the individual treatment plan is part of the patient record and signed by the responsible party for the patient's care;
 - (c) patient care is administered according to the individual treatment plan;
 - (d) individual treatment plans are reviewed on a weekly basis for the first three months, and at intervals determined by the treatment team, but not to exceed every other month;

- (e) the written individual treatment plan is based on a comprehensive functional medical, psycho-social, substance use, and treatment history assessment of each patient;
 - (f) when appropriate, the patient and family is invited to participate in the development and review of the individual treatment plan and any patient and family participation is documented; and
 - (g) the individual treatment plan is available to any personnel who provide care for the patient.
- (4) The Utah State Hospital is exempt from the time frames listed in Subsection R432-102-14(3)(a) for initiating and reviewing the individual treatment plan and shall initiate an individual treatment plan for each patient admitted within 14 days and review the plan on a monthly basis.
- (5) The licensee shall ensure the confidentiality of the records of substance use disorder patients are maintained according to The Code of Federal Regulations, Title 42, Part 2, Confidentiality of Substance Use Disorder Patient Records.

R432-102-15. Required Hospital Services.

(1) The licensee shall comply with the following sections of the General Hospital Standards, R432-100 in the patient care milieu:

- (a) R432-100-33, Dietary Services;
- (b) R432-100-37, Laundry Services;
- (c) R432-100-39, Maintenance Services; and
- (d) R432-100-38, Housekeeping Services.

(2) The licensee shall comply with the following sections of the Specialty Hospital Psychiatric Standards of Rule R432-101 in the patient care milieu:

- (a) R432-101-11, Quality Assurance;
- (b) R432-101-15, Patient Rights;
- (c) R432-101-16, Emergency and Disaster;
- (d) R432-101-17, Admission and Discharge Policy;
- (e) R432-101-18, Transfer Agreement;
- (f) R432-101-19, Pets in Hospitals;
- (g) R432-101-23, Physical Restraints, Seclusion, and Behavior Management;
- (h) R432-101-28, Laboratory;
- (i) R432-101-29, Pharmacy;
- (j) R432-101-30, Social Services; and
- (k) R432-101-31, Activity Therapy.

R432-102-16. Optional Hospital Services.

(1) The following sections of the General Hospital Standards, Rule R432-100 shall apply to a substance use disorder specialty hospital when these services are adopted into, or are required by, the hospital's patient care service milieu:

- (a) R432-100-15, Critical Care Unit;
- (b) R432-100-20, Pediatric Services;
- (c) R432-100-22, Rehabilitation Therapy Services;
- (d) R432-100-23, Radiology Services;
- (e) R432-100-21, Respiratory Services; and
- (f) R432-100-36, Central Supply Services.

(2) Inpatient Hospice Rule 432-70 applies to a specialty hospital offering this service.

(3) Section R432-101-20, Inpatient Psychiatric Services applies to a specialty hospital offering this service.

R432-102-17. Penalties.

Any person who violates any provision of this rule may be subject to the penalties enumerated in Section 26B-2-208.

KEY: health care facilities

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